

Fighting Fraud and Corruption in Russia and CIS

Enhancing the Recent Regulatory Initiatives to Boost Your Compliance Function and Prevent Fraud and Corruption

Moscow, Russia

12th–14th September 2012

Including a Half Day Interactive Post-Conference Workshop:
Ensuring Compliance in the Sphere of Natural Resources Exploitation

Attending This Premier **marcusevans** Conference Will Enable You to:

- **Assess** the recent changes in anti-fraud and anti-corruption legislation in Russia and CIS
- **Optimise** the processes of anti-fraud management
- **Minimise** the corruption risks
- **Study** the cases of successful application of foreign anti-corruption laws in Russia and CIS
- **Increase** the effectiveness of your corporate compliance system
- **Improve** your corporate culture in order to prevent fraud and maintain impeccable business reputation

Learn from Key Practical Case Studies:

- **RUSAL** undertaking internal investigations in the company
- **MTS** explaining specific features of ensuring compliance with the UK and US anti-corruption laws for Russian companies
- **Siemens** upgrading the compliance culture to prevent fraud
- **Halliburton** conducting effective vendor due diligence
- **DTEK** organising cooperation of the overlapping departments to increase business efficiency
- **Johnson Controls** creating uniform standards of ethical conduct in the company to minimise fraud and corruption

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БИЗНЕС ПО ПРАВИЛАМ

Protect your business through an effective compliance system



marcusevans Expert Speaker Panel:

Pavel Livadny*
Head of Legal Department
Federal Financial Monitoring Service

Sergey Puzyrevskiy*
Head of Legal Division
FAS of Russia

Anton Alferov
Regional Compliance Counsel
Halliburton

Ilsur Akhmetshin
Country Integrity Officer
ABB

Timur Khasanov-Batirov
Chief Compliance Officer
DTEK

Ivan Tyagun
Internal Control and Investigations
Director
RUSAL

Ruslan Yakimov
Lead Counsel
Mobile TeleSystems

Olga Ostrovskaya
Regional Sector Compliance Officer
Siemens

Thomas Firestone
Resident Legal Adviser
U.S. Embassy-Moscow

Valeriy Prasolov
Director of Security Department
Pharmacy Chain 36,6

Svetlana Kuznetsova
Senior Group Counsel
Johnson Controls

Valentina Berlimova
Head of Revisions and Special
Investigations
Uralkali

Olga Ambrozhevich
Deputy General Director for Compliance
and Risk Management
UralSib Asset Management

Olga Zinovyeva
Compliance Manager
GE Healthcare

Julia Romashkina
Chief Compliance Officer
Sanofi

Dmitry Goncharov
Head of Revenue Assurance Department
Vympelcom

Oksana Yazykova
AML Department Head
Compliance Control Division
Citibank

Artyom Garifullin
Senior Investigator
Sakhalin Energy Investment

08:30 Registration and Coffee

09:00 Opening Address from the Chair

REVIEWING CHANGES IN RUSSIAN AND CIS ANTI-CORRUPTION AND ANTI-FRAUD LEGISLATION

09:15 **Analysing the Impact of the Legislative Updates on Compliance Risks in Russia and CIS**

- Assessing planned reform of the public procurement in Russia
- Approaches to harmonisation of international corruption-fighting standards and Russian legal system
- Understanding effects of Russia's accession to the OECD Convention on Combating Bribery of Foreign Public Officials on compliance risks
- Ukraine's new law on preventing and combating corruption

Speaker to be confirmed

10:00 **Applying Anti-Money Laundering Tools in the New Standards Framework**

- Reviewing legislative amendments addressed to intensify the fight against money laundering and increase financial transparency of companies
- Monitoring revenues of public officials responsible for procurement and state resources
- Implementing enhanced measures of fighting money laundering as tax evasion
- Evaluating the effectiveness of the new measures to combat money laundering

Pavel Livadny*

Head of Legal Department

Federal Financial Monitoring Service

10:45 Morning Coffee and Networking Break

APPLYING FOREIGN ANTI-CORRUPTION REGULATIONS IN RUSSIA AND CIS

11:15 **Case Study:**

Specific Features of Ensuring Compliance with UK and US Anti-Corruption Laws in Russian Companies

- Solving the facilitation payments issue
- Adjusting the compliance programme in accordance with the UK Bribery Act requirements
- Successfully organising cooperation between foreign companies and the local CIS companies
- Managing the contract compliance procedures: Anti-corruption provisions and audit rights

Ruslan Yakimov

Lead Counsel

Mobile TeleSystems

12:00 **Panel Discussion:**

Applying Compliance Procedures in Order to Agree with Foreign Anti-Corruption Laws Considering the Requirements of Russia's Competition Law

- Development trends and application practices of the Russian competition legislation
- Analysing differences in the Russian competition legislation and foreign anti-corruption laws
- Suggesting solutions to address contradiction in the application practices

Moderator:

Thomas Firestone

Resident Legal Adviser

U.S. Embassy-Moscow

Panellists:

Sergey Puzyrevskiy*

Head of Legal Division

FAS of Russia

Anton Alferov

Regional Compliance Counsel

Halliburton

Olga Zinovyeva

Compliance Manager

GE Healthcare

Julia Romashkina

Chief Compliance Officer

Sanofi

12:45 Luncheon

14:00 **Case Study:**

Siemens: The Experience of Introducing the Compliance Culture

- Understanding the hospitality culture in Russia and CIS: Anti-corruption legislation violation risks
- Siemens corruption scandal: Negative experience and lessons learnt
- Upgrading the corporate compliance programme
- Developing an effective company policy regarding gifts, entertainment and trips
- Organising internal trainings for employees

Olga Ostrovskaya

Regional Sector Compliance Officer

Siemens

EFFECTIVELY DETECTING AND PREVENTING FRAUD

14:45 **Panel Discussion:**

Exploring Special Features and Approaches to Fraud Detection in Different Industries

Group 1: Specifics of Fraud Detection and Prevention in Lending, Insurance and Investments Industries

Moderator:

Olga Ambrozhevich

Deputy General Director for Compliance and Risk Management

UralSib Asset Management

Group 2: Learning Fraud Combating Methods in Computer and Telecommunications Systems Industries

Moderator:

Dmitry Goncharov

Head of Revenue Assurance Department

Vympelcom

Group 3: Defining Fraud and Classifying Fraud Schemes in Metallurgy

Moderator:

Ivan Tyagun

Internal Control and Investigations Director

RUSAL

Group 4: Identifying Special Features of Fraud in the Chemical Industry

Moderator:

Valentina Berlimova

Head of Revisions and Special Investigations

Uralkali

Group 5: Defining Fraud and Classifying Fraud Schemes in Energy Sector

Moderator:

Artyom Garifullin

Senior Investigator

Sakhalin Energy Investment

15:35 Afternoon Tea and Networking Break

16:00 **Case Study:**

Organising Internal Fraud Prevention Trainings

- Determining factors that contribute to fraud
- Identifying "risk areas" for the company
- Exploring indicators and signs of fraud
- Analysing circumstances and decision-making in situations of potential fraud
- Developing strategies of fraud prevention
- Engaging top management to participate in trainings and involving them in the process of fraud management

Valeriy Prasolov

Director of Security Department

Pharmacy Chain 36,6

16:45 **Case Study:**

Undertaking Internal Financial Investigations in the Company

- Reviewing financial breaches: Revenue recognition fraud, fraud involving conflict of interest, side agreements
- Assessing methods of investigation with a limited availability of information about individuals
- Comparing rules for internal investigations in different countries
- Understanding specific features of internal investigations in Russia
- Ensuring compliance with regulatory norms in investigation of fraud cases for further submission to the court

Ivan Tyagun

Internal Control and Investigations Director

RUSAL

17:30 Closing Remarks from the Chair

17:45 End of Day One

08:30 Registration and Coffee

09:00 Opening Address from the Chair

MANAGING CORRUPTION RISKS IN YOUR COMPANY

09:15 Panel Discussion:

Joined Efforts of Production Companies and Banks against Money Laundering and Corruption

- Tools used by banks to identify a suspicious payer
- Reporting cases of illegal payments to state oversight bodies
- Developing cooperation between companies and banks against money laundering and corruption
- Benefiting from the companies and banks cooperation in terms of financial breaches prevention

Moderator:

Ilсур Akhmetshin

Country Integrity Officer

ABB

Panellists:

Timur Khasanov-Batirov

Chief Compliance Officer

DTEK

Oksana Yazykova

AML Department Head

Compliance Control Division

Citibank

10:00 **Ensuring Compliance when Interacting with Public Officials: Approaches to Reduce the Likelihood of Forced Involvement in Corruption Schemes**

- Finding approaches to reduce the likelihood of forced involvement in corruption schemes
- Analysing organisational, legal and economic methods of combating corruption
- Implementing new anti-corruption mechanisms in companies and non-profit organisations in CIS
- Exploring benefits of the new anti-corruption developments for accounting and management reporting

Speaker to Be Appointed

10:45 Morning Coffee and Networking Break

INCREASING THE PERFORMANCE OF YOUR CORPORATE COMPLIANCE SYSTEM

11:15 Case Study:

Conducting Effective Vendor Due Diligence

- Assessing risks of third-party services outsourcing
- Conducting compliance vendor due diligence
- Constructing an effective contract with a third party to minimise the compliance risks
- Monitoring the vendor compliance with anti-corruption contract clauses
- Organising trainings for potential vendor partners

Anton Alferov

Regional Compliance Counsel

Halliburton

12:00 Case Study:

Increasing the Effectiveness of Your Corporate Compliance System

- Analysing flaws of the current compliance system and determining optimal solutions
- Optimising the compliance system: Operations, steps, processes
- Developing internal procedures of monitoring successful implementation of the compliance programme
- Improving the internal organisational culture
- Organising internal staff trainings to improve the effectiveness of the compliance programme

Julia Romashkina

Chief Compliance Officer

Sanofi

12:45 Luncheon

14:00 Case Study:

Organising Cooperation of the Overlapping Departments to Increase Your Business Efficiency

- Determining a systems approach to distribute functions of the compliance and internal control departments
- Distributing areas of responsibility between services in terms of the organisation process
- Defining the optimal number of staff needed to implement the function
- Eliminating gaps in organisation of interaction between the overlapping services

Timur Khasanov-Batirov

Chief Compliance Officer

DTEK

14:45 Case Study:

Managing the Corruption Risks Associated with the State Officials Conflict of Interests within the Business Partners Activity

- Scrutinising the key legislative provisions determining the status of a "state official": Specifics of Russian and CIS legislative definitions
- Building a compliance programme to prevent the risks of the public officials conflict of interest
- Identifying transactions with the risk of public officials conflict of interest
- Determining criteria and optimising approaches to risk management

Olga Zinovyeva

Compliance Manager

GE Healthcare

15:30 Afternoon Tea and Networking Break

DEVELOPING YOUR CORPORATE CULTURE AS AN EFFECTIVE TOOL OF FRAUD RISK MANAGEMENT

16:15 Case Study:

Creating Uniform Standards of Ethical Conduct in the Company: "Tone From the Top" Approach

- New approaches to developing and maintaining an impeccable business reputation
- Involving top management in demonstration of ethical and business standards compliance
- Communicating the Code of Conduct norms to the employees
- Evaluating the effectiveness of ethical conduct communication by top management in terms of financial crimes prevention

Svetlana Kuznetsova

Senior Group Counsel

Johnson Controls

16:45 Closing Remarks from the Chair

17:00 End of Day Two

Complimentary Accommodation Booking Service:



For specially negotiated and discounted hotel bedroom rates please contact our official Travel and Accommodation provider – Karen Symonds at **myTravel Manager** on karens@my-travelmanager.com

Conference Language:

The official conference language is **Russian**. All presentations unless specified will be presented in Russian with simultaneous translation to English. This will also extend to any panel discussions and question and answer sessions.

14th September 2012

Interactive Post-Conference Workshop

ENSURING COMPLIANCE IN THE SPHERE OF NATURAL RESOURCES EXPLOITATION

This interactive workshop will allow participants to learn the specific aspects of environmental compliance, assess risks for the company and minimise financial losses and reputation damages by building and implementing a comprehensive compliance programme that corresponds to the latest regulatory requirements in the sphere of natural resources exploitation.

09:00 Registration and Coffee

09:15 Workshop Leader's Opening Remarks

Workshop Topics:

- Explaining the specificity of a compliance function for the extractive industries
- Evaluating the role of state regulation of extractive industries in CIS
- Assessing the risks level for the company
- Building a compliance function in accordance with the environmental protection legislation and introducing corporate responsibility programs
- Exploring new products and introducing technologies and activities that meet the current environment protection requirements

Attendees will be able to enjoy coffee and networking opportunities midway through the workshop at 11:00

12:30 Workshop Leader's Closing Remarks

Workshop Leader to Be Confirmed

Business Development Opportunities:

Concerning possible sponsorship of business dinners, evening cocktail parties, advertising in delegates folders and placement of exhibit booths, please contact:

Sasha Ivanovich, Sales Director

Tel: +44 (0) 203 002 3357, Fax: +44 (0) 203 002 3013

Email: Sasha@marcusevansuk.com

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Producers of high quality business to business conferences designed to address the strategic information needs of senior executives. Speakers are practitioners from international blue-chip organisations and financial institutions, as well as business schools, academic bodies and government ministries. More than 1,000 conferences take place each year, attracting over 100,000 delegates and 16,000 speakers.

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Sector Focused and General Management Training through high quality courses, videos and CD-ROMs enables organisations of any size and geographical location to benefit from working with **marcus evans** for all their training needs. The clients' demands for high quality hands-on training drives the focus for content, and thorough research ensures a compatibility with current business concerns.

marcus evans language training

marcus evans linguarama offers effective training in language, communication and culture for business and professional people. With more than 30 years' experience and over 20 centres in Europe, we are one of Europe's largest business language and communication training organisations. Each year **marcus evans linguarama** trains people from over 60 countries worldwide and provides over 1,000 companies and organisations with total training solutions where our courses are focused entirely on the needs of the individual participant or group and have practical relevance to business and professional life.

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marcus evans congresses

marcus evans congresses bring together the leading vendors and decision makers from a wide range of services and industries. Each event provides an opportunity for key suppliers to exhibit and demonstrate their products to the region's key professionals. In addition to the exhibition format the event's educational conference is attended only by delegates who are pre-qualified, in most cases to a minimum spend of US\$5 million and many with budgets in excess of \$50 million.

